

Consortium Mtg Closed Issues/Action Items Report

Transaction: 820

HIPAA Consortium Meeting

State: AZ

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|--|--------------------------------------|-------------------------|--------------|------------------|-----------------|
| Issue/Action # | A-820-00234 | Other - see notes below | 2-High | Consortium membe | Closed |
| Action Item | clarify the capitation payment date. | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | Lori Petre | 8/13/2003 | | 1/1/2008 |
| Description: The last daily in September and first monthly in October will be in the 834 format. Recently we have clarified that the last daily of September has to be an 834. September 26th is the last old format daily roster. Then we will begin with critical path and promotes for HIPAA. This will run through September 28th, the last daily will be dated September 27th, and available on September 28th. September 28th will run the monthly roster for October and should be completed September 30th. Available for pickup September 30th. We will get into the first daily for October, including 9/28, 9/29, 9/30 and dated 10/01. Then a normal cycle processes. Action: Clarification will be added to the document. Will be distributed with the minutes. The first 820-capitation remittance should be available 10/02 or 10/09. Action Item: We will clarify the capitation payment date. Q: Which enrollment period is that? A: Finance pays in advance when we run the payment cycle. When we run the 820 it will run for the Wednesday for the week prior. Capitation payments week of the 24th through the 30th will be paid October 2nd. Action: Frank will write this down and it will be sent out with minutes. | | | | | |
| Resolution: No. Memo dated 08/26/03 distributed to Consortium members 8/27/03. | | | | | |

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|----------------|-------------|---------|--------|------------------|--------|
| Issue/Action # | A-820-00253 | Finance | 2-High | Consortium Membe | Closed |
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Consortium Mtg Closed Issues/Action Items Report

Transaction: 820

HIPAA Consortium Meeting

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| Action Item | follow up on the 820 for BHS | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | Lori Petre | 8/27/2003 | | 9/12/2003 |
| | Description: Q: BHS will like a whole file? A: Action Item: Lori will follow up on the 820 for BHS. | | | | |
| | Resolution: Sent 09/12. | | | | |

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|-----------------------|-------------|---------|--------|------------------|--------|
| Issue/Action # | A-820-00250 | Finance | 2-High | Consortium Membe | Closed |
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| Action Item | Lori will follow up on the 820 for BHS. | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | Lori Petre | 8/27/2003 | | 9/12/2003 |
| | Description: Lori will follow up on the 820 for BHS. | | | | |
| | Resolution: Sent 09/12 | | | | |

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| Issue/Action # | A-820-00245 | Finance | 2-High | Consortium membe | Closed |
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| Action Item | The first 820-capitation remittance should be available 10/02 or 10/09. | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | Lori Petre | 8/13/2003 | | 9/4/2003 |
| | Description: The first 820-capitation remittance should be available 10/02 or 10/09. Action Item: We will clarify the capitation payment date as soon as we get direction from Finance. | | | | |
| | Resolution: 10/08 has been established as the run date for the first 820. | | | | |

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| Issue/Action # | A-820-00235 | Other - see notes below | 2-High | Consortium membe | Closed |
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Consortium Mtg Closed Issues/Action Items Report

Transaction: 820

HIPAA Consortium Meeting

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|-------------|--|----------------------------|---------------------|------------------|------------------------|
| Action Item | Q: Hospital kicks? NPR files after September 27? | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | Lori Petre | 8/13/2003 | | 8/25/2003 |
| | Description: | | | | |
| | Q: Hospital kicks? NPR files after September 27? Action: Jim will look into this and send response. | | | | |
| | Resolution: | | | | |
| | The Manual Payment Roster, which is made available to the MCOs as an electronic file, will no longer be produced for MCOs receiving the 820 file. Information on the Kick payment, including rate code and applicable payment dates, will be included in the 820 file. | | | | |

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| Issue/Action # | A-820-00154 | | 2-High | Lori Petre | Closed |
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| Action Item | What will the response turnaround for a transaction be? | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | | 5/14/2003 | | 6/27/2003 |
| | Description: | | | | |
| | What will the response turnaround for a transaction be? The task has been assigned to research the process between project managers. | | | | |
| | Resolution: | | | | |
| | Closed. Same as A-997-00155 | | | | |

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| Issue/Action # | A-820-00133 | Finance | 2-High | Mary Kay McDaniel | Closed |
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| Action Item | CRS/ BHS we have additional work to do, 820 document will be presented | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | Tom Walsh | 4/9/2003 | | 6/25/2003 |
| | Description: | | | | |
| | CRS/ BHS we have additional work to do, 820 document will be presented separately to them. | | | | |
| | Resolution: | | | | |
| | Completed | | | | |

Consortium Mtg Closed Issues/Action Items Report

Transaction: 820

HIPAA Consortium Meeting

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|-----------------------|-------------|---------|--------|------------------|--------|
| Issue/Action # | A-820-00242 | Finance | 2-High | Consortium membe | Closed |
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| Action Item | When we run the 820 it will run for the Wednesday for the week prior. | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | Lori Petre | 8/13/2003 | | 8/27/2003 |

Description:

Q: Which enrollment period will the 820 be for?
A: Finance pays in advance when we run the payment cycle. When we run the 820 it will run for the Wednesday for the week prior.

For example: Capitation payments week of the 24th through the 30th will be paid October 2nd.

Action: Frank will document this process, and it will be sent out with minutes or distributed in our next meeting.

Resolution:

Completed. Memo distributed 08/27/03 at the Consortium meeting.

Consortium Mtg Closed Issues/Action Items Report

Transaction: 834

HIPAA Consortium Meeting

State: AZ

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| Issue/Action # | I-834-00176 | Recipient | 4-Future Enhan | MaryKay McDaniel | Closed |
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|---------------------|--|----------------------------|---------------------|------------------|------------------------|
| Issue | Custodial parent information is available at DES, their processes have to change, | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | MaryKay McDaniel | 3/25/2003 | | 6/4/2003 |
| Description: | Custodial parent information is available at DES, their processes have to change, our processes have to change, new action codes need to be developed. | | | | |
| Resolution: | Research completed. There is no entity that can provide the appropriate information. | | | | |

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| Issue/Action # | A-834-00126 | Testing | 2-High | Lori Petre | Closed |
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| Action Item | Those who have volunteered for pilot testing we will be contacting by later next | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | | 3/12/2003 | | 4/9/2003 |
| Description: | Those who have volunteered for pilot testing we will be contacting by later next week. | | | | |
| Resolution: | Addressed. | | | | |

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| Issue/Action # | A-834-00145 | Finance | 2-High | MaryKay McDaniel | Closed |
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| Action Item | If we need and we can all agree the Voucher number could be placed at the | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | | 3/12/2003 | | 3/22/2003 |
| Description: | If we need and we can all agree the Voucher number could be placed at the Supplementary ID in the 2000 Member detail level. | | | | |

Consortium Mtg Closed Issues/Action Items Report

Transaction: 834

HIPAA Consortium Meeting

Resolution:

The voucher number has been added to the 834 transaction.

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|-----------------------|-------------|-----------|--------|------------------|--------|
| Issue/Action # | A-834-00148 | Recipient | 2-High | MaryKay McDaniel | Closed |
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Action Item

We will need to look at how to differentiate from CRS, TSC or HMO in

Responsible Person:

Date Opened:

Date Due:

Date Completed:

Description:

We will need to look at how to differentiate from CRS, TSC or HMO in the PRA client. When we send the minutes this information will be sent as well.

Resolution:

Done. See the updated companion guides and the examples which will be on the web site next week.

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| Issue/Action # | A-834-00150 | Other - see notes below | 2-High | Lori Petre | Closed |
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Action Item

Put the STFE file increment in the project plan for post implementation, and

Responsible Person:

Date Opened:

Date Due:

Date Completed:

Description:

Put the STFE file increment in the project plan for post implementation, and look at it on an incremental basis, to see if the 1,000 number should increase

Resolution:

Done. The initial 834 transactions will be set at increments of 1000. The issue to review post-implementation has been included in the implementation document.

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| Issue/Action # | A-834-00226 | Recipient | 2-High | Lori Petre | Closed |
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Consortium Mtg Closed Issues/Action Items Report

Transaction: 834

HIPAA Consortium Meeting

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|-------------|---|----------------------------|---------------------|------------------|------------------------|
| Action Item | Will initiate a problem report to make option #2. | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | Lori Petre | 7/16/2003 | | 8/11/2003 |
| | Description: Will initiate a problem report to make this change. Take everything down to the 2300 level and make it health coverage. Add is a 021, insurance one code would be an AG. AG is Preventative care wellness. Plan coverage description of PG and effective date as the date the file was processed. | | | | |
| | Resolution: Initiated problem ticket.834217 | | | | |

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| Issue/Action # | A-834-00244 | Recipient | 2-High | Consortium membe | Closed |
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| Action Item | HIPAA Monthly/Daily files, Clarification will be added to the document. | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | Lori Petre | 8/13/2003 | | 9/12/2003 |
| | Description: 834/820 HIPAA Monthly/Daily files: The last daily in September and first monthly in October will be in the 834 format. Recently we have clarified that the last daily of September has to be an 834. September 26th is the last old format daily roster. Then we will begin with critical path and promotes for HIPAA. This will run through September 28th, the last daily will be dated September 27th, and available on September 28th. September 28th will run the monthly roster for October and should be completed September 30th. Available for pickup September 30th. We will get into the first daily for October, including 9/28, 9/29, 9/30 and dated 10/01. Then a normal cycle processes. Action Item: Clarification will be added to the document. Will be distributed with the minutes, and discussed again at the next meeting. | | | | |
| | Resolution: Addressed w/each health plan 09/12/03 | | | | |

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| Issue/Action # | A-834-00275 | Recipient | 2-High | Consortium Membe | Closed |
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Consortium Mtg Closed Issues/Action Items Report

Transaction: 834

HIPAA Consortium Meeting

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|-------------|---|----------------------------|---------------------|------------------|------------------------|
| Action Item | The county move out code is still an issue. It was removed, as it was no | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | Lori Petre | 10/8/2003 | | 10/21/2003 |
| | Description: The county move out code is still an issue. It was removed, as it was no longer needed per the workgroup. Q: Why is it not mapped to Termination of Benefits instead of leaving it blank? Action Item: Tom is reviewing. | | | | |
| | Resolution: This has been promoted to test. Per TF email 10/21/03 The AZACTIONREASONCD table which has the action code mapping for HIPAA compliant codes has been promoted to test. This will stay in test until the Health Plans have finished testing this. It is to be promoted to production after that. | | | | |

Consortium Mtg Closed Issues/Action Items Report

Transaction: 835 Claims

HIPAA Consortium Meeting

State: AZ

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|-----------------------|-------------|--------------------|--------|------------------|--------|
| Issue/Action # | A-835-00158 | HIPAA Enhancements | 2-High | Consortium Membe | Closed |
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|-------------|--|----------------------------|---------------------|------------------|------------------------|
| Action Item | Contingency plan | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | | 5/14/2003 | | 6/11/2003 |
| | Description: The 835 and 837 contingencies will be available by the end of the month, or no later than 06/04/03... | | | | |
| | Resolution: Emailed. | | | | |

Consortium Mtg Closed Issues/Action Items Report

Transaction: 837 Claims (all)

HIPAA Consortium Meeting

State: AZ

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|----------------|-------------|--------|--------|------------------|--------|
| Issue/Action # | A-837-00238 | Claims | 2-High | Consortium membe | Closed |
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Action Item

Follow-up of provider number at line levels

Responsible Person:

Date Opened:

Date Due:

Date Completed:

Lori Petre

8/13/2003

8/21/2003

Description:

Q: How many health plans will allow in system line level providers different from the header level provider #? Can you adjudicate that claim?

A: From an encounter perspective then we will not look at getting different providers at that line. That is five additional id numbers that may need to be carried at the line level.

AHCCCS can capture this information but not adjudicate it.

Action: Follow-up of provider number at line levels. Mary Kay or Lori?

Resolution:

Completed. Took out of the maps.

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| Issue/Action # | A-837-00241 | Claims | 2-High | Lori Petre | Closed |
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Action Item

CMS testing status – email sent requesting status and another will be

Responsible Person:

Date Opened:

Date Due:

Date Completed:

Lori Petre

8/13/2003

8/28/2003

Description:

CMS testing status – email sent requesting status and another will be sent to those who have not replied.

Resolution:

Completed

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|----------------|-------------|--------|--------|-------------------|--------|
| Issue/Action # | A-837-00239 | Claims | 2-High | Mary Kay McDaniel | Closed |
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Consortium Mtg Closed Issues/Action Items Report

Transaction: 837 Claims (all)

HIPAA Consortium Meeting

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|-------------|---|----------------------------|---------------------|------------------|------------------------|
| Action Item | Segment summary sheets will be sent out with minutes. | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | MaryKay McDaniels | 8/13/2003 | | 8/21/2003 |
| | Description: | | | | |
| | Action: Segment summary sheets will be sent out with minutes. | | | | |
| | Resolution: | | | | |
| | Health plans have the information in the Implementation Guides, not necessary to send out, but need to have at next Consortium meeting for discussions. | | | | |

Consortium Mtg Closed Issues/Action Items Report

Transaction: 837 Inst. Claims

HIPAA Consortium Meeting

State: AZ

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|-----------------------|-------------|--------|--------|------------|--------|
| Issue/Action # | A-837-00138 | Claims | 2-High | Lori Petre | Closed |
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Action Item

A draft Companion document version will be put out on the Claims 837 next

Responsible Person:

Date Opened:

Date Due:

Date Completed:

Description:

4/9/2003

4/16/2003

A draft Companion document version will be put out on the Claims 837 next week.

Resolution:

The document has been placed on the Web site as of 04/16/03.

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| Issue/Action # | A-837-00159 | HIPAA Enhancements | 2-High | Consortium Membe | Closed |
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Action Item

Contingency plan 837

Responsible Person:

Date Opened:

Date Due:

Date Completed:

Description:

5/14/2003

6/4/2003

6/11/2003

The 835 and 837 contingencies will be available by the end of the month, or no later than 06/04/03..

Resolution:

Emailed

Consortium Mtg Closed Issues/Action Items Report

Transaction: 837 Inst. Enc.

HIPAA Consortium Meeting

State: AZ

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|--|---|---------------------|--------------|------------------|-----------------|
| Issue/Action # | A-837-00274 | | 2-High | Consortium Membe | Closed |
| Action Item | Q: When was it effective that the outpatient could not reflect ICD9s? | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | Description: | Lori Petre | 10/8/2003 | | 10/10/2003 |
| Q: When was it effective that the outpatient could not reflect ICD9s? Action Item: This is a HIPAA rule, we will check if this is reflected in the UB manual. | | | | | |
| Resolution: | | | | | |
| As follow-up to the discussions on ICD9 that occurred in Wednesday Consortium meeting please see the following information. Excerpt #1: Lori, this is probably the best outline/detail regarding the ICD9CM procedure code.... The health plans may find it helpful. MK -----Original Message----- From: Walter Suarez [mailto:walter.suarez@sga.us.com] Sent: Thursday, October 09, 2003 9:42 PM To: WEDI Codesets Subworkgroup List Subject: RE: ICD-9-CM for UB92 issue - not allowed for hospitals outpatient claims [My apologies for the lengthy response] Thuky, This is one of those 'sleeper' issues that just got 'unearthed' and is causing major headaches among providers and private payers. During the most recent CMS conference calls, CMS officials clarified that the regulations (and not the Implementation Guides) are the ones establishing the requirement to use ICD-9 Vol 3 for inpatient procedures and CPT/HCPCS for outpatient hospital procedures. Below is the specific citations from the final HIPAA regs that specify these selective coding use requirements. They all seemed a bit surprised during the call as to why this issue was only uncovered until now. I guess part of the reason might have been that for quite some time, Medicare has been requiring CPT/HCPCS for outpatient hospital procedures. So, it wasn't a problem for them at all. But many private payers did not move away from requiring providers to submit ICD-9 procedure codes to bill for these services. And now the main issue is that those payers and providers have contracts in place defining this code use and establishing reimbursement policies based on them. Many providers are probably able to use either code, since they bill some payers (like Medicare) with the CPT/HCPCS and other payers with the ICP-9 codes. But, again, the | | | | | |

Wednesday, October 22, 2003

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Transaction: 837 Inst. Enc.

HIPAA Consortium Meeting

problem seems to be in the contracts.

I understand CMS officials and industry reps from AHA, NUBC and others are working on how to address this issue, and hopefully soon we will see a FAQs.

A final thought: to CMS credit, this was something specifically addressed in the lengthy preamble to the Aug 17, 2000 final rule publication. I have extracted below some of the comments and responses from it, where they specifically clarify that covered entities using ICD-9 for outpatient hospital procedures will need to move to the new required code set standard.

Walter.

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=====

42 CFR References (I've bolded the key items):
=====

-- 162.1000 General requirements. When conducting a transaction covered by this part, a covered entity must meet the following requirements: (a) Medical data code sets. Use the applicable medical data code sets described in §162.1002 as specified in the implementation specification adopted under this part that are valid at the time the health care is furnished. (b) Nonmedical data code sets. Use the nonmedical data code sets as described in the implementation specifications adopted under this part that are valid at the time the transaction is initiated.

-- 162.1002 Medical data code sets. The Secretary adopts the following code set maintaining organization's code sets as the standard medical data code sets:

(a) International Classification of Diseases, 9th Edition, Clinical Modification, (ICD-9-CM), Volumes 1 and 2 (including The Official ICD-9- CM Guidelines for Coding and Reporting), as maintained and distributed by HHS, for the following conditions: (1) Diseases. (2) Injuries. (3) Impairments. (4) Other health problems and their manifestations. (5) Causes of injury, disease, impairment, or other health problems.

(b) International Classification of Diseases, 9th Edition, Clinical Modification, Volume 3 Procedures (including The Official ICD-9-CM Guidelines for Coding and Reporting), as maintained and distributed by HHS, for the following procedures or other actions taken for diseases, injuries, and impairments on hospital inpatients reported by hospitals: (1) Prevention. (2) Diagnosis. (3) Treatment. (4) Management.

[... (c) and (d) skipped - they reference the NDC and CDP, not relevant in this discussion ...]

(e) The combination of Health Care Financing Administration Common Procedure Coding System (HCPCS), as maintained and distributed by HHS, and Current Procedural Terminology, Fourth Edition (CPT-4), as maintained and distributed by the American Medical Association, for physician services and other health care services. These services include, but are not limited to, the following: (1) Physician services. (2) Physical and occupational therapy services. (3) Radiologic procedures. (4) Clinical laboratory tests. (5) Other medical diagnostic procedures. (6) Hearing and vision services. (7)

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HIPAA Consortium Meeting

Transportation services including ambulance.

[... (f) reference the HCPCS for all other substances, DME, medical supplies, or other items used in health care services ...]. These items

Relevant preamble discussion sections (Federal Register Vol 65 No. 160 - Aug 17, 2000 - Pages 50324-50325):

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"c. Code Sets Proposed. The following code sets were proposed as initial standards:

(a) Diseases, injuries, impairments, other health related problems, their manifestations, and causes of injury, disease, impairment, or other healthrelated problems. The standard code set for these conditions is the International Classification of Diseases, 9th edition, Clinical Modification, (ICD-9-CM), Volumes 1 and 2, as maintained and distributed by the U.S. Department of Health and Human Services. The specific data elements for which the ICD-9-CM is the required code set are enumerated in the implementation specifications for the transaction standards that require its use.

(b) Procedures or other actions taken to prevent, diagnose, treat, or manage diseases, injuries and impairments. (1) Physician Services. The standard code set for these services is the Current Procedural Terminology (CPT-4) maintained and distributed by the AMA. The specific data elements for which the CPT-4 (including codes and modifiers) is a required code set are enumerated in the implementation specifications for the transaction standards that require its use. (2) Dental Services. The standard code set for these services is The Code on Dental Procedures and Nomenclature, printed as "The Code" and published as CDT, maintained and distributed by the ADA for a charge. The specific data elements for which the Dental Code is a required code set are enumerated in the implementation specifications for the transaction standards that require its use.

(3) Inpatient Hospital Services. The standard code set for these services is the International Classification of Diseases, 9th edition, Clinical Modification (ICD-9-CM), Volume 3 procedures, maintained and distributed by the U.S. Department of Health and Human Services. The specific data elements for which ICD-9-CM, Volume 3 procedures, is a required code set are enumerated in the implementation specifications for the transaction standards that require its use.

b. Comment: Two commenters stated that the proposal did not reflect current uses of some code sets. One commenter stated that in addition to being used for inpatient procedural coding, the ICD-9- CM procedure codes are also required by many health plans for the reporting of facility-based outpatient procedures. The second commenter pointed out that in addition to being used by physicians and other health care professionals, the combination of HCPCS level I and CPT-4 is required for reporting ancillary services such as radiology and laboratory services and by some health plans for reporting facility-based procedures. Further, Medicare currently requires HCPCS level II codes for reporting services in skilled nursing facilities.

Response: Health plans must conform to the requirements for code set use set out in this final rule. Therefore, if a health plan currently requires health care providers to use CPT-4 to report inpatient facility-based procedures, they both would be required to convert to ICD-9.

We agree that the proposal did not reflect all current uses of some code sets. For example, we agree that CPT-4 is commonly used to code laboratory tests, yet laboratory tests are not necessarily considered to be physician services. Moreover, the proposed rule implied that laboratory tests are a type of other health care service which are encoded using HCPCS. We believe that the architecture of both coding sets, HCPCS and CPT-4, is such that they are both frequently used for coding physician and other health care services. Both of these medical data code sets are standard medical data code sets and may be used in standard transactions (see § 162.1002(e)). Therefore, a health plan using CPT-4 to report outpatient facility-based procedures would not be required to change that practice.

In addition, the proposed rule did not itemize the types of services included in other health care services. These other health care services include the ancillary services, radiology and laboratory which are mentioned in the comment, as well as other medical diagnostic procedures, physical and occupational therapy, hearing and vision services, and transportation services including ambulance. Similarly, other substances, equipment, supplies, or

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Transaction: 837 Inst. Enc.

HIPAA Consortium Meeting

other items used in health care services includes medical supplies, orthotic and prosthetic devices, and durable medical equipment. In the final rule, we clarify the description of physician and other health care services and we recognize that two code sets (CPT-4 and HCPCS) are used to specify these services. In the proposed rule, we used the term "health-related services" to help describe these services. We believe that use of the term "health-related services" might suggest that these services are not health care. In an effort to prevent this confusion, and because the codes in this category are used to enumerate services meeting the definition of health care, we are using what we believe is the more appropriate term ("health care services") to describe these services. We note that the substance of the category remains the same. The final rule has been revised to indicate that the combination of HCPCS and CPT-4 will be used for physician services and other health care services. The use of ICD-9-CM procedure codes is restricted to the reporting of inpatient procedures by hospitals. In § 162.1002 we clarify the use of medical code sets. The standard code sets are the following: (a) ICD-9-CM, Volumes 1 and 2 (including The Official ICD-9-CM Guidelines for Coding and Reporting), is the required code set for diseases, injuries, impairments, other health problems and their manifestations, and causes of injury, disease, impairment, or other health problems. b) ICD-9-CM Volume 3 Procedures (including The Official ICD-9-CM Guidelines for Coding and Reporting) is the required code set for the following procedures or other actions taken for diseases, injuries, and impairments on hospital inpatients reported by hospitals: prevention, diagnosis, treatment, and management. (c) NDC is the required code set for drugs and biologics. (d) Code on Dental Procedures and Nomenclature is the code set for dental services. (e) The combination of HCPCS and CPT-4 is the required code set for physician services and other health care services. (f) HCPCS is the required code set for other substances, equipment, supplies, and other items used in health care services.

=====
> From: rxthukr@regence.com [<mailto:rxthukr@regence.com>]
> Sent: Thursday, October 09, 2003 1:23 PM
> To: WEDI Codesets Subworkgroup List
> Subject: ICD-9-CM for UB92 issue - not allowed for
> hospitals outpatient claims
>
> Good morning -
> Last Friday there was discussion on the CMS
> ruling that the ICD-9-CM codes could only be
> used for Hospital inpatient claims and not allowed
> for outpatient claims. We are very interested in
> reviewing the official notification that stated this
> - whether from CMS, HHS, or CDC. If anyone has a
> copy or reference could you please forward it to me.
>
> We have seen the AHIMA alert but that is not an
> official source. We are looking for the source that
> has legal jurisdiction to make this statement.
>
> Thanks very much in anticipation.....
> Thuky
>

Wednesday, October 22, 2003

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Consortium Mtg Closed Issues/Action Items Report

Transaction: 837 Inst. Enc.

HIPAA Consortium Meeting

> rxthukr@regence.com
> 206.287.5418
=====

Excerpt 2:

Question/Answer 2357 on the CMS FAQ website:

Posted: 10/8/2003

CMS FAQ:

http://questions.cms.hhs.gov/cgi-bin/cmshhs.cfg/php/enduser/std_adp.php?p_faqid=2357&p_created=1065631578

Question:

Can ICD-9-CM procedure codes be reported on hospital outpatient claims? If I use HCPCS codes to report hospital outpatient services at the "required" service line level segment for a claim, may I use the ICD-9-CM procedure codes to report hospital outpatient services at the claim level "situational" segment?

Answer:

NO. ICD-9-CM procedure codes were named as the HIPAA standard code set for inpatient hospital procedures. The ICD-9-CM procedure codes were not named a HIPAA standard for procedures in other settings such as hospital outpatient services or other types of ambulatory services. Hospitals may capture the ICD-9-CM procedure codes for internally tracking or monitoring hospital outpatient services; but when conducting standard transactions, hospitals must use HCPCS codes to report outpatient services at the service line level and the claim level, if the situation applies. Even though an ICD-9-CM procedure code qualifier is available, in addition to a HCPCS code qualifier, at the "situational" claim level segment, the Transactions and Code Sets regulation states that ICD-9-CM procedure codes is the adopted standard code set for hospital inpatient services.

In order to continue operations and maintain cash flow, providers, as part of their contingency plan, could continue to report hospital outpatient services with ICD-9-CM procedure codes if required by the health plan. However, health plans must realize that reporting hospital outpatient services with ICD-9-CM procedures codes on standard claim transactions is not compliant, and that their good faith efforts to come into compliance must include the steps being taken to change this requirement.

Posted:

CMS FAQ:

http://questions.cms.hhs.gov/cgi-bin/cmshhs.cfg/php/enduser/std_adp.php?p_faqid=2357&p_created=1065631578

| | | | | | |
|----------------|-------------|--|------------|------------|--------|
| Issue/Action # | A-837-00202 | | 1-Critical | Lori Petre | Closed |
|----------------|-------------|--|------------|------------|--------|

Consortium Mtg Closed Issues/Action Items Report

Transaction: 837 Inst. Enc.

HIPAA Consortium Meeting

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|-------------|---------------------|----------------------------|---------------------|------------------|------------------------|
| Action Item | | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | Lori Petre | 6/4/2003 | | 6/23/2003 |
| | Description: | | | | |
| | | | | | |
| | Resolution: | | | | |
| | no info in record | | | | |

| | | | | | |
|-----------------------|-------------|--|------------|------------|--------|
| Issue/Action # | A-837-00203 | | 1-Critical | Lori Petre | Closed |
|-----------------------|-------------|--|------------|------------|--------|

| | | | | | |
|-------------|---|----------------------------|---------------------|------------------|------------------------|
| Action Item | Consideration of a delayed implementation of 837 Encounters | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | Lori Petre | 6/4/2003 | | 6/30/2003 |
| | Description: | | | | |
| | Consideration of a delayed implementation of 837 Encounters. Once we have heard from everyone, we will work on our decision regarding encounters and notify you by the middle of next week. We would like to get this out as soon as possible, so you can start thinking about further implications. | | | | |
| | Resolution: | | | | |
| | A final decision was made to delay the HIPAA Encounter Implementation date to 01/16/2004. This decision impacts the 834 I/P/D, NCPDP and U277 transactions. | | | | |

| | | | | | |
|-----------------------|-------------|-------------------------|--------|------------|--------|
| Issue/Action # | A-837-00223 | Other - see notes below | 2-High | Lori Petre | Closed |
|-----------------------|-------------|-------------------------|--------|------------|--------|

| | | | | | |
|-------------|---|----------------------------|---------------------|------------------|------------------------|
| Action Item | We hope to have some 837 initial examples to walk through by the next | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | Lori Petre | 7/16/2003 | | 8/28/2003 |
| | Description: | | | | |
| | We hope to have some 837 initial examples to walk through by the next Consortium meeting. | | | | |
| | Resolution: | | | | |
| | Completed. | | | | |

Consortium Mtg Closed Issues/Action Items Report

Transaction: 997

HIPAA Consortium Meeting

State: AZ

| | | | | | |
|-----------------------|-------------|------------------|--------|------------|--------|
| Issue/Action # | A-997-00155 | Acknowledgements | 2-High | Lori Petre | Closed |
|-----------------------|-------------|------------------|--------|------------|--------|

Action Item

Why do we need to send an acknowledgment if there is a problem?

Responsible Person:

Date Opened:

Date Due:

Date Completed:

Description:

5/14/2003

6/27/2003

Why do we need to send an acknowledgment if there is a problem? Will we have a process to alert us that there is a problem?

Resolution:

See A-997-00206

| | | | | | |
|-----------------------|-------------|------------------|--------|------------|--------|
| Issue/Action # | A-997-00137 | Acknowledgements | 2-High | Lori Petre | Closed |
|-----------------------|-------------|------------------|--------|------------|--------|

Action Item

We will send a follow up question regarding the acknowledgement

Responsible Person:

Date Opened:

Date Due:

Date Completed:

Description:

4/9/2003

4/14/2003

We will send a follow up question regarding the acknowledgement transactions, requesting some input prior to our next consortium meeting.

Resolution:

Email sent 04/14/03

| | | | | | |
|-----------------------|-------------|------------------|--------|------------|--------|
| Issue/Action # | A-997-00132 | Acknowledgements | 2-High | Lori Petre | Closed |
|-----------------------|-------------|------------------|--------|------------|--------|

Action Item

We will look at a notification of what was accepted from the load process, since

Responsible Person:

Date Opened:

Date Due:

Date Completed:

Description:

Nancy Mischung

6/27/2003

Consortium Mtg Closed Issues/Action Items Report

Transaction: 997

HIPAA Consortium Meeting

Resolution:

See A-997-00206.

| | | | | | |
|-----------------------|-------------|--|--------|------------------|--------|
| Issue/Action # | A-997-00252 | | 2-High | Consortium Membe | Closed |
|-----------------------|-------------|--|--------|------------------|--------|

Action Item

We will review the 997 version.

Responsible Person:

Date Opened:

Date Due:

Date Completed:

Lori Petre

8/27/2003

9/17/2003

Description:

Q: What did we resolve on the 997 GS08?
Our stance is ... you want the 997 version as the response.
A: I read that the date is 4010, then the actual.
GS08 for a 997 is 4010.
The actual version that you are responding to is at the end of the segment.
Action Item: We will review the 997 version.

Resolution:

Resolved.

| | | | | | |
|-----------------------|-------------|------------------|--------|------------|--------|
| Issue/Action # | A-997-00131 | Acknowledgements | 2-High | Lori Petre | Closed |
|-----------------------|-------------|------------------|--------|------------|--------|

Action Item

We will go back and check the flow regarding the 997.

Responsible Person:

Date Opened:

Date Due:

Date Completed:

4/14/2003

4/14/2003

Description:

We will go back and check the flow regarding the 997.

Resolution:

Corrections have been made and emailed to Consortium members 04/14/03.

| | | | | | |
|-----------------------|-------------|------------------|--------|------------|--------|
| Issue/Action # | A-997-00227 | Acknowledgements | 2-High | Lori Petre | Closed |
|-----------------------|-------------|------------------|--------|------------|--------|

Consortium Mtg Closed Issues/Action Items Report

Transaction: 997

HIPAA Consortium Meeting

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|-------------|--|----------------------------|---------------------|------------------|------------------------|
| Action Item | The folders for the acknowledgements are ready and we can begin accepting | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | Lori Petre | 7/16/2003 | | 8/28/2003 |
| | Description: | | | | |
| | The folders for the acknowledgements are ready and we can begin accepting your 997s. We will send an email notifying you if these are processing well. | | | | |
| | Resolution: | | | | |
| | Completed. | | | | |

| | | | | | |
|-----------------------|-------------|-------------------------|------------|------------|--------|
| Issue/Action # | A-997-00206 | Other - see notes below | 1-Critical | Lori Petre | Closed |
|-----------------------|-------------|-------------------------|------------|------------|--------|

| | | | | | |
|-------------|---|----------------------------|---------------------|------------------|------------------------|
| Action Item | Outbound Acknowledgment document needs to be replaced in directory and | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | MaryKay McDaniels | 6/4/2003 | | 6/30/2003 |
| | Description: | | | | |
| | Outbound Acknowledgment document needs to be replaced in directory and emailed. | | | | |
| | Resolution: | | | | |
| | Final Acknowledgement Flows emailed 06/30/03. | | | | |

| | | | | | |
|-----------------------|-------------|------------------|--------|------------------|--------|
| Issue/Action # | A-997-00261 | Acknowledgements | 2-High | Consortium Membe | Closed |
|-----------------------|-------------|------------------|--------|------------------|--------|

| | | | | | |
|-------------|--|----------------------------|---------------------|------------------|------------------------|
| Action Item | Action Item: Issue has been raised again from a Health plans' perspective of | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | Lori Petre | 9/17/2003 | | 10/7/2003 |
| | Description: | | | | |
| | Action Item: Issue has been raised again from a Health plans' perspective of getting the 5.1 to AHCCCS; this is an issue for at least two of the health plans. | | | | |
| | Resolution: | | | | |
| | Per Brent, found a batch 1.1 acknowledgement that we will use. | | | | |

Consortium Mtg Closed Issues/Action Items Report

Transaction: MAP-MERCATOR

HIPAA Consortium Meeting

State: BOTH

| | | | | | |
|-----------------------|---|----------------------------|---------------------|------------------|------------------------|
| Issue/Action # | A-MAP-00153 | Other - see notes below | 2-High | Nancy Mischung | Closed |
| Action Item | Document stating the approach on how to handle the situational elements. | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | Description: | Nancy Mischung | 5/14/2003 | | 9/3/2003 |
| | Document stating the approach on how to handle the situational elements. We will look to publish something explaining this. | | | | |
| | Resolution: | | | | |
| | completed. | | | | |

Consortium Mtg Closed Issues/Action Items Report

Transaction: NCPDP Claims

HIPAA Consortium Meeting

State: AZ

| | | | | | |
|-----------------------|--|----------------------------|---------------------|------------------|------------------------|
| Issue/Action # | A-NCP-00166 | Claims | 2-High | Consortium Membe | Closed |
| Action Item | Concerns expressed via internet on NCPDP will be placed on the list. | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | Brent Ratterree | 5/14/2003 | | 10/6/2003 |
| | Description: | | | | |
| | Concerns expressed via internet on NCPDP will be placed on the list. | | | | |
| | Resolution: | | | | |
| | To be addressed at the consortium meeting. | | | | |

Consortium Mtg Closed Issues/Action Items Report

Transaction: NCPDP Enc.

HIPAA Consortium Meeting

State: AZ

| | | | | | |
|-----------------------|-------------|--|--------|------------------|--------|
| Issue/Action # | A-NCP-00264 | | 2-High | Consortium Membe | Closed |
|-----------------------|-------------|--|--------|------------------|--------|

| | | | | | |
|-------------|---|----------------------------|---------------------|------------------|------------------------|
| Action Item | Q: Health Plan - who would be validating/certifying the NCPDP | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | Lori Petre | 9/17/2003 | | 10/7/2003 |
| | Description: Q: Health Plan - who would be validating/certifying the NCPDP Transaction. U277 also needs certifying? A: Claredi does not certify NCPDP. So far there is no one certifying the NCPDP 5.1. Q: Who will AHCCCS use? Action Item: AHCCCS will need to follow-up on this item. | | | | |
| | Resolution: Result from Brent: Patsy from NCPDP told me that WebMD is validating 5.1 transactions and that we may be able to obtain a 5.1 claims file from WebMD or Texas Medicaid In addition I have attached NCPDP's v5.x question & response document. | | | | |

Consortium Mtg Closed Issues/Action Items Report

Transaction: OTHER

HIPAA Consortium Meeting

State: AZ

| | | | | | |
|----------------|-------------|-------------------------|--------|-----------------|--------|
| Issue/Action # | A-OTH-00135 | Other - see notes below | 2-High | Brent Ratterree | Closed |
|----------------|-------------|-------------------------|--------|-----------------|--------|

| | | | | | |
|-------------|---|---------------------|--------------|-----------|-----------------|
| Action Item | We will send this Draft document of the Certification Form out along with the | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
|-------------|---|---------------------|--------------|-----------|-----------------|

| | | | | |
|--------------|--|----------|--|-----------|
| Description: | | 4/9/2003 | | 4/22/2003 |
|--------------|--|----------|--|-----------|

We will send this Draft document of the Certification Form out along with the minutes.

Resolution:

Completed

| | | | | | |
|----------------|-------------|----------------|--------|------------|--------|
| Issue/Action # | A-OTH-00170 | Infrastructure | 2-High | Lori Petre | Closed |
|----------------|-------------|----------------|--------|------------|--------|

| | | | | | |
|-------------|--|---------------------|--------------|-----------|-----------------|
| Action Item | The technical specs need to be available for direct access to the AHCCCS FTP | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
|-------------|--|---------------------|--------------|-----------|-----------------|

| | | | | |
|--------------|--|-----------|--|----------|
| Description: | | 4/15/2003 | | 6/4/2003 |
|--------------|--|-----------|--|----------|

The technical specs need to be available for direct access to the AHCCCS FTP server.

Resolution:

Addressed.

| | | | | | |
|----------------|-------------|-------------------------|--------|------------|--------|
| Issue/Action # | A-OTH-00171 | Other - see notes below | 2-High | Lori Petre | Closed |
|----------------|-------------|-------------------------|--------|------------|--------|

| | | | | | |
|-------------|--|---------------------|--------------|-----------|-----------------|
| Action Item | Need to finalize B2B requirement handling for certification. | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
|-------------|--|---------------------|--------------|-----------|-----------------|

| | | | | |
|--------------|--|----------|--|-----------|
| Description: | | 4/9/2003 | | 4/25/2003 |
|--------------|--|----------|--|-----------|

Need to finalize B2B requirement handling for certification.

Consortium Mtg Closed Issues/Action Items Report

Transaction: OTHER

HIPAA Consortium Meeting

Resolution:

The data itself needs to be certified and not the process. Additionally, the CEO, CFO, or designated individual needs to concurrently certify the data submitted. The process to create the data file could corrupt the data, but the individual certifying the data must certify after the process creates the data.

| | | | | | |
|-----------------------|-------------|--|--------|------------|--------|
| Issue/Action # | A-OTH-00146 | | 2-High | Lori Petre | Closed |
|-----------------------|-------------|--|--------|------------|--------|

Action Item

Mary Kay will look at this date file under FYI.

Responsible Person:

Date Opened:

Date Due:

Date Completed:

3/12/2003

3/22/2003

Description:

Mary Kay will look at this date file under FYI.

Resolution:

Done. See the updated companion guides.

| | | | | | |
|-----------------------|-------------|-------------------------|-------|----------------|--------|
| Issue/Action # | A-OTH-00124 | Other - see notes below | 3-Low | Gloria Collins | Closed |
|-----------------------|-------------|-------------------------|-------|----------------|--------|

Action Item

We will check on what Tina had documented in reference to the

Responsible Person:

Date Opened:

Date Due:

Date Completed:

4/9/2003

6/4/2003

Description:

We will check on what Tina had documented in reference to the translators that each plan has.

Resolution:

Addressed.

| | | | | | |
|-----------------------|-------------|-------------------------|--------|--|--------|
| Issue/Action # | A-OTH-00125 | Other - see notes below | 2-High | | Closed |
|-----------------------|-------------|-------------------------|--------|--|--------|

Consortium Mtg Closed Issues/Action Items Report

Transaction: OTHER

HIPAA Consortium Meeting

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|-------------|--|----------------------------|---------------------|------------------|------------------------|
| Action Item | We can make the documented FTP process available. | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | | 4/9/2003 | | 6/4/2003 |
| | Description: We can make the documented FTP process available. | | | | |
| | Resolution: Addressed. | | | | |

| | | | | | |
|-----------------------|-------------|---------|--------|------------|--------|
| Issue/Action # | A-OTH-00134 | Testing | 2-High | Lori Petre | Closed |
|-----------------------|-------------|---------|--------|------------|--------|

| | | | | | |
|-------------|---|----------------------------|---------------------|------------------|------------------------|
| Action Item | A representative test file, out of our system and integration testing would be | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | | 4/9/2003 | | 6/4/2003 |
| | Description: A representative test file, out of our system and integration testing would be logical. We will provide one for the Group 1 transactions by end of April and then at the end of May for Group 2. | | | | |
| | Resolution: Addressed. | | | | |

| | | | | | |
|-----------------------|-------------|-------------------------|--------|------------|--------|
| Issue/Action # | A-OTH-00225 | Other - see notes below | 2-High | Lori Petre | Closed |
|-----------------------|-------------|-------------------------|--------|------------|--------|

| | | | | | |
|-------------|--|----------------------------|---------------------|------------------|------------------------|
| Action Item | A follow-up will be sent by next meeting asking for input on other options we | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | Lori Petre | 7/16/2003 | | 9/17/2003 |
| | Description: A follow-up will be sent by next meeting asking for input on other options we have for certification. | | | | |
| | Resolution: Resolved. | | | | |

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|-----------------------|-------------|-------------------------|--------|------------|--------|
| Issue/Action # | A-OTH-00142 | Other - see notes below | 2-High | Lori Petre | Closed |
|-----------------------|-------------|-------------------------|--------|------------|--------|

Consortium Mtg Closed Issues/Action Items Report

Transaction: OTHER

HIPAA Consortium Meeting

| | | | | | |
|--------------|---|---------------------|--------------|-----------|-----------------|
| Action Item | We are trying to clean up the email contacts. | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | | 3/20/2003 | | 4/1/2003 |
| Description: | We are trying to clean up the email contacts. | | | | |
| Resolution: | Completed | | | | |

| | | | | | |
|----------------|-------------|-------------------------|--------|----------------|--------|
| Issue/Action # | A-OTH-00207 | Other - see notes below | 2-High | Nancy Mischung | Closed |
|----------------|-------------|-------------------------|--------|----------------|--------|

| | | | | | |
|--------------|---|---------------------|--------------|-----------|-----------------|
| Action Item | Email sent on Zero record blank file: Confirmation on the agreed upon | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | | 6/4/2003 | | 6/11/2003 |
| Description: | Email sent on Zero record blank file: Confirmation on the agreed upon solution will be sent early next week. | | | | |
| Resolution: | Per agreement at the Wednesday, June 4th Consortium Meeting. The Health Plans have requested that AHCCCS send the trailer record as it exists in today's process, when there are no records on the 834 file. We have completed the coding and unit test, and have transmitted the first trailer record files through Mercator and out to the Health Plans as requested. The Health Plans must be aware however that these files with only the trailer records are not intended to be processed by their maps as EDI 834 transactions. We also found a solution to create the same trailer record when the 820 has no records. The 820 will have a trailer record identical to the 834 when there is no 820 information available. This will be available when the next 820 process runs. | | | | |

| | | | | | |
|----------------|-------------|-------------------------|--------|------------|--------|
| Issue/Action # | A-OTH-00208 | Other - see notes below | 2-High | Lori Petre | Closed |
|----------------|-------------|-------------------------|--------|------------|--------|

| | | | | | |
|--------------|--|---------------------|--------------|-----------|-----------------|
| Action Item | Melanie is putting together instructions on downloading, emailing, faxing form | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | Melanie Carnegie | 6/4/2003 | | 7/11/2003 |
| Description: | Melanie is putting together instructions on downloading, emailing, faxing form and will send out later this week. We can also accept problems as an email to the test web address and we will put it into a form. | | | | |

Consortium Mtg Closed Issues/Action Items Report

Transaction: OTHER

HIPAA Consortium Meeting

Resolution:

Completed.

| | | | | | |
|-----------------------|-------------|-------------------------|--------|------------|--------|
| Issue/Action # | A-OTH-00209 | Other - see notes below | 2-High | Lori Petre | Closed |
|-----------------------|-------------|-------------------------|--------|------------|--------|

Action Item

Problem Report Summaries: Primary and Secondary testing contacts will

Responsible Person:

Date Opened:

Date Due:

Date Completed:

Mariaelena Ugarte

6/4/2003

8/6/2003

Description:

Problem Report Summaries: Primary and Secondary testing contacts will receive information with what was identified, fixed and dates.

Resolution:

Problem Report Stats have been completed and posted to the WEB.

| | | | | | |
|-----------------------|-------------|-------------------------|--------|------------|--------|
| Issue/Action # | A-OTH-00211 | Other - see notes below | 2-High | Lori Petre | Closed |
|-----------------------|-------------|-------------------------|--------|------------|--------|

Action Item

Survey was sent out regarding translators used and Claredi Workgroup,

Responsible Person:

Date Opened:

Date Due:

Date Completed:

Mariaelena Ugarte

6/4/2003

8/5/2003

Description:

Survey was sent out regarding translators used and Claredi Workgroup, and we are now compiling information that will be sent out shortly.

Resolution:

Completed.

| | | | | | |
|-----------------------|-------------|--|------------|-----------------|--------|
| Issue/Action # | A-OTH-00204 | | 1-Critical | Brent Ratterree | Closed |
|-----------------------|-------------|--|------------|-----------------|--------|

Consortium Mtg Closed Issues/Action Items Report

Transaction: OTHER

HIPAA Consortium Meeting

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|-------------|--|----------------------------|---------------------|------------------|------------------------|
| Action Item | 30 additional waiver codes. | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | Brent Ratterree | 6/4/2003 | | 7/21/2003 |
| | Description: 30 additional waiver codes. Timeframe when that will be available? We will follow up with Brent. | | | | |
| | Resolution: Resolved. | | | | |

| | | | | | |
|-----------------------|-------------|-------------------------|--------|------------|--------|
| Issue/Action # | A-OTH-00224 | Other - see notes below | 2-High | Lori Petre | Closed |
|-----------------------|-------------|-------------------------|--------|------------|--------|

| | | | | | |
|-------------|---|----------------------------|---------------------|------------------|------------------------|
| Action Item | Transmission file with one certification will be reviewed further. | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | Lori Petre | 7/16/2003 | | 8/28/2003 |
| | Description: Transmission file with one certification will be reviewed further. | | | | |
| | Resolution: Completed | | | | |

| | | | | | |
|-----------------------|-------------|-------------------------|--------|------------|--------|
| Issue/Action # | A-OTH-00168 | Other - see notes below | 2-High | Lori Petre | Closed |
|-----------------------|-------------|-------------------------|--------|------------|--------|

| | | | | | |
|-------------|---|----------------------------|---------------------|------------------|------------------------|
| Action Item | Email-inquiring which translator, certification software, and the use of | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | | 5/14/2003 | | 5/19/2003 |
| | Description: Email-inquiring which translator, certification software, and the use of Claredi, an participation of a Claredi Workgroup. | | | | |
| | Resolution: Email sent 05/19/03; 5:07 pm. | | | | |

| | | | | | |
|-----------------------|-------------|-------------------------|--------|------------|--------|
| Issue/Action # | I-OTH-00228 | Other - see notes below | 2-High | Lori Petre | Closed |
|-----------------------|-------------|-------------------------|--------|------------|--------|

Consortium Mtg Closed Issues/Action Items Report

Transaction: OTHER

HIPAA Consortium Meeting

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|-------|--|---------------------|--------------|-----------|-----------------|
| Issue | VPN issue – we are trying to automate the process and put in place a VPN | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | Lori Petre | 7/16/2003 | | 8/28/2003 |
| | Description: VPN issue – we are trying to automate the process and put in place a VPN concentrator. We want to do a LAN-to-LAN connection. Dennis will discuss this VPN issue with Network Services. | | | | |
| | Resolution: Completed | | | | |

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|-----------------------|-------------|-------------------------|--------|------------|--------|
| Issue/Action # | A-OTH-00229 | Other - see notes below | 2-High | Lori Petre | Closed |
|-----------------------|-------------|-------------------------|--------|------------|--------|

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|-------------|---|---------------------|--------------|-----------|-----------------|
| Action Item | Need a summarization of impacts for the next consortium. | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | Lori Petre | 7/16/2003 | | 8/28/2003 |
| | Description: Need a summarization of impacts for the next consortium. | | | | |
| | Resolution: Completed. | | | | |

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|-----------------------|-------------|-------------------------|--------|------------|--------|
| Issue/Action # | A-OTH-00230 | Other - see notes below | 2-High | Lori Petre | Closed |
|-----------------------|-------------|-------------------------|--------|------------|--------|

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|-------------|--|---------------------|--------------|-----------|-----------------|
| Action Item | Email summarizing what we have heard for suggestions and requesting your | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | Lori Petre | 7/16/2003 | | 8/28/2003 |
| | Description: Email summarizing what we have heard for suggestions and requesting your inputs will be sent out. | | | | |
| | Resolution: Completed. | | | | |

Consortium Mtg Closed Issues/Action Items Report

Transaction: OTHER

HIPAA Consortium Meeting

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|----------------|-------------|-------------------------|--------|------------------|--------|
| Issue/Action # | A-OTH-00248 | Other - see notes below | 2-High | Consortium Membe | Closed |
|----------------|-------------|-------------------------|--------|------------------|--------|

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|-------------|--|---------------------|--------------|-----------|-----------------|
| Action Item | We will include the materials that were presented to the CFOs on the Web site. | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | Nancy Mischung | 8/27/2003 | | 10/6/2003 |
| | Description: | | | | |
| | | | | | |
| | Resolution: | | | | |
| | This information was provided to Lori and she sent an e-mail to the Health Plan participants on Thursday, 8/28/03. | | | | |

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|----------------|-------------|--|--------|------------------|--------|
| Issue/Action # | A-OTH-00255 | | 2-High | Consortium Membe | Closed |
|----------------|-------------|--|--------|------------------|--------|

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|-------------|--|---------------------|--------------|-----------|-----------------|
| Action Item | Decisions were received from everyone. There will be one more opportunity to | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | Lori Petre | 9/17/2003 | | 9/25/2003 |
| | Description: | | | | |
| | Decisions were received from everyone. There will be one more opportunity to change/validate that decision next week. Action Item: Next Wednesday we will send out another email to make sure that we captured what you elected. | | | | |
| | Resolution: | | | | |
| | Per Lori, Close, not rally an aciton item. | | | | |

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|----------------|-------------|--|--------|------------------|--------|
| Issue/Action # | A-OTH-00262 | | 2-High | Consortium Membe | Closed |
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|-------------|---|---------------------|--------------|-----------|-----------------|
| Action Item | Request: Could we possibly get the minutes out sooner, preferably by next | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | Lori Petre | 9/17/2003 | | 9/25/2003 |
| | Description: | | | | |
| | Request: Could we possibly get the minutes out sooner, preferably by next week? | | | | |
| | Resolution: | | | | |
| | Sent ahead of schedule. | | | | |

Consortium Mtg Closed Issues/Action Items Report

Transaction: OTHER

HIPAA Consortium Meeting

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|-----------------------|-------------|-------------------------|--------|------------|--------|
| Issue/Action # | A-OTH-00220 | Other - see notes below | 2-High | Lori Petre | Closed |
|-----------------------|-------------|-------------------------|--------|------------|--------|

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|-------------|--|----------------------------|---------------------|------------------|------------------------|
| Action Item | We are going to extract key implementation dates by transaction | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | Lori Petre | 7/16/2003 | | 8/28/2003 |
| | Description: We are going to extract key implementation dates by transaction from the implementation plan to publish by the next Consortium meeting. | | | | |
| | Resolution: Completed. | | | | |

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|-----------------------|-------------|-------------------------|--------|------------|--------|
| Issue/Action # | A-OTH-00156 | Other - see notes below | 2-High | Lori Petre | Closed |
|-----------------------|-------------|-------------------------|--------|------------|--------|

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|-------------|---|----------------------------|---------------------|------------------|------------------------|
| Action Item | Transactions to FTP server. | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | | 5/14/2003 | | 6/4/2003 |
| | Description: Transactions to FTP server. There are firewalls that have the technology built into them. An example will be provided by Michael Anderson. | | | | |
| | Resolution: Addressed. | | | | |

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|-----------------------|-------------|-------------------------|--------|------------|--------|
| Issue/Action # | A-OTH-00139 | Other - see notes below | 2-High | Lori Petre | Closed |
|-----------------------|-------------|-------------------------|--------|------------|--------|

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|-------------|--|----------------------------|---------------------|------------------|------------------------|
| Action Item | There will be information published within the next few weeks, such as, what | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | Tom Walsh | 3/12/2003 | | 4/9/2003 |
| | Description: There will be information published within the next few weeks, such as, what has happened in our translator versus what we need to do in our remediation. | | | | |
| | Resolution: | | | | |

Consortium Mtg Closed Issues/Action Items Report

Transaction: OTHER

HIPAA Consortium Meeting

Presented at the Consortium meeting 04/09/03.

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|-----------------------|-------------|---------|--------|------------|--------|
| Issue/Action # | A-OTH-00140 | Testing | 2-High | Lori Petre | Closed |
|-----------------------|-------------|---------|--------|------------|--------|

Action Item

An email will be sent notifying you of what we have in mind for our Testing

Responsible Person:

Date Opened:

Date Due:

Date Completed:

Description:

3/12/2003

4/9/2003

An email will be sent notifying you of what we have in mind for our Testing approach. If you have any additional suggestions they are welcome.

Resolution:

Addressed at 04/09/03 Consortium

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|-----------------------|-------------|-------------------------|--------|------------|--------|
| Issue/Action # | A-OTH-00141 | Other - see notes below | 2-High | Lori Petre | Closed |
|-----------------------|-------------|-------------------------|--------|------------|--------|

Action Item

We will send out something soliciting your interest to see if increasing the

Responsible Person:

Date Opened:

Date Due:

Date Completed:

Description:

3/12/2003

4/7/2003

We will send out something soliciting your interest to see if increasing the frequency of this meeting to possibly every three weeks would be possible.

Resolution:

Email sent week of 03/31. Completed

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|-----------------------|-------------|-------------------------|--------|------------|--------|
| Issue/Action # | A-OTH-00143 | Other - see notes below | 2-High | Lori Petre | Closed |
|-----------------------|-------------|-------------------------|--------|------------|--------|

Action Item

A new copy of the Code Sets will be sent.

Responsible Person:

Date Opened:

Date Due:

Date Completed:

Description:

3/12/2003

3/22/2003

A new copy of the Code Sets will be sent.

Consortium Mtg Closed Issues/Action Items Report

Transaction: OTHER

HIPAA Consortium Meeting

Resolution:

This is included in the new companion guides on the web site. A separate document is not needed.

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|-----------------------|-------------|-------------------------|--------|----------------|--------|
| Issue/Action # | A-OTH-00205 | Other - see notes below | 2-High | Nancy Mischung | Closed |
|-----------------------|-------------|-------------------------|--------|----------------|--------|

Action Item

We will have a more detail regarding the electronic signature/certification update

Responsible Person:

Date Opened:

Date Due:

Date Completed:

Nancy Mischung

6/4/2003

6/30/2003

Description:

We will have a more detail regarding the electronic signature/certification update by next meeting.

Resolution:

ISD is in the process of identifying options which range from manual fax to electronic signature. Meeting is schedule to review the options identified. Once we refine those options we anticipate meeting with key internal customers to share what we have either late the week of 06/30 or early the week of 07/07. The intention is still to implement in October 2003.

| | | | | | |
|-----------------------|-------------|--------|--------|------------|--------|
| Issue/Action # | I-OTH-00152 | Claims | 2-High | Lori Petre | Closed |
|-----------------------|-------------|--------|--------|------------|--------|

Issue

How to Dupe check and get it back out.

Responsible Person:

Date Opened:

Date Due:

Date Completed:

Nancy Mischung

5/14/2003

8/28/2003

Description:

How to Dupe check and get it back out of Mercator..

Resolution:

Completed.

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|-----------------------|-------------|-------------------------|--------|------------|--------|
| Issue/Action # | A-OTH-00136 | Other - see notes below | 2-High | Lori Petre | Closed |
|-----------------------|-------------|-------------------------|--------|------------|--------|

Consortium Mtg Closed Issues/Action Items Report

Transaction: OTHER

HIPAA Consortium Meeting

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|-------------|--|---------------------|--------------|-----------|-----------------|
| Action Item | The HL7 group letter will be sent with the minutes. | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | | 4/9/2003 | | 4/11/2003 |
| | Description: The HL7 group letter will be sent with the minutes. | | | | |
| | Resolution: Sent via email 04/11/03. | | | | |

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|-----------------------|-------------|--|------------|------------|--------|
| Issue/Action # | A-OTH-00160 | | 1-Critical | Lori Petre | Closed |
|-----------------------|-------------|--|------------|------------|--------|

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|-------------|--|---------------------|--------------|-----------|-----------------|
| Action Item | Response to questions that were sent to Brent. | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | | 5/14/2003 | | 6/27/2003 |
| | Description: Responses to questions that were sent to Brent will be provided by 06/04/03.. | | | | |
| | Resolution: Completed | | | | |

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|-----------------------|-------------|--------|--------|------------|--------|
| Issue/Action # | A-OTH-00161 | Tables | 2-High | Lori Petre | Closed |
|-----------------------|-------------|--------|--------|------------|--------|

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|-------------|---|---------------------|--------------|-----------|-----------------|
| Action Item | Transaction Identifiers - table of what we are calling ourselves and the MCO's in | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | | 5/14/2003 | | 6/4/2003 |
| | Description: Transaction Identifiers - review of table of what we are calling ourselves and the MCO's in these tables. MCO's will review. | | | | |
| | Resolution: Completed | | | | |

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|-----------------------|-------------|-------------------------|--------|------------------|--------|
| Issue/Action # | I-OTH-00162 | Other - see notes below | 2-High | Consortium Membe | Closed |
|-----------------------|-------------|-------------------------|--------|------------------|--------|

Consortium Mtg Closed Issues/Action Items Report

Transaction: OTHER

HIPAA Consortium Meeting

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|--------------|---|---------------------|--------------|-----------|-----------------|
| Issue | Ventilator clients had to be put in seperately. | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | | 5/14/2003 | | 6/4/2003 |
| Description: | Ventilator clients had to be put in seperately. | | | | |
| Resolution: | Addressed. | | | | |

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|----------------|-------------|-------------------------|--------|------------|--------|
| Issue/Action # | A-OTH-00163 | Other - see notes below | 2-High | Lori Petre | Closed |
|----------------|-------------|-------------------------|--------|------------|--------|

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|--------------|--|---------------------|--------------|-----------|-----------------|
| Action Item | Trading Partner information | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | | 5/14/2003 | | 6/4/2003 |
| Description: | Trading Partner information to be put together by next week. | | | | |
| Resolution: | Addressed. | | | | |

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|----------------|-------------|--------------------|--------|----------------|--------|
| Issue/Action # | A-OTH-00167 | HIPAA Enhancements | 2-High | Nancy Mischung | Closed |
|----------------|-------------|--------------------|--------|----------------|--------|

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|--------------|---|---------------------|--------------|-----------|-----------------|
| Action Item | Trailer Record, option of an email sent when a there isn't a file to process. | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | | 5/14/2003 | | 6/4/2003 |
| Description: | Trailer Record, option of an email sent when a there isn't a file to process. | | | | |
| Resolution: | Resolved. | | | | |

Consortium Mtg Closed Issues/Action Items Report

Transaction: OTHER

HIPAA Consortium Meeting

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|-----------------------|---|----------------------------|---------------------|------------------|------------------------|
| Issue/Action # | I-OTH-00100 | Recipient | 2-High | Lori Petre | Closed |
| Issue | Contract awarded to different plans. Should be announced by 05/08. | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | | 4/29/2003 | | |
| | Description: | | | | |
| | | | | | |
| | Resolution: | | | | |
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Consortium Mtg Closed Issues/Action Items Report

Transaction: OTHER

HIPAA Consortium Meeting

State: BOTH

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|-----------------------|-------------|-------------------------|--------|------------|--------|
| Issue/Action # | A-OTH-00157 | Other - see notes below | 2-High | Lori Petre | Closed |
|-----------------------|-------------|-------------------------|--------|------------|--------|

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|-------------|---|----------------------------|---------------------|------------------|------------------------|
| Action Item | Lag time of test data. | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | Nancy Mischung | 5/14/2003 | | 6/4/2003 |
| | Description: How soon can we get the test data that will be the same as the production data. We will also look at catching up with files on Mondays, for the weekend. | | | | |
| | Resolution: Resolved. | | | | |

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|-----------------------|-------------|---------|--------|--------------|--------|
| Issue/Action # | A-OTH-00120 | Testing | 2-High | Frank Straka | Closed |
|-----------------------|-------------|---------|--------|--------------|--------|

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|-------------|---|----------------------------|---------------------|------------------|------------------------|
| Action Item | When will User test be scheduled to start? | Responsible Person: | Date Opened: | Date Due: | Date Completed: |
| | | Lori Petre | 4/28/2003 | | 4/28/2003 |
| | Description: When will User test be scheduled to start? | | | | |
| | Resolution: No user testing scheduled invited to participate in overall testing beginning 03/07-07/31/03. | | | | |
